

<p>Admission for the module Master Class Compliance Officer in the Financial Sector Case study and Assessment</p>

Prerequisites:

1. Having succeeded the exams "Compliance – Fundamentals" and "Compliance – Implementation of the Regulatory Framework"
2. Having at least 3 years of experience in the field of compliance

Name of candidate:		
Employer:		
Date :		
Language:	<input type="checkbox"/> French	<input type="checkbox"/> English

Professional experience in the field of compliance (please join your CV)

Employer:		
Function:		
Duration:		

Employer:		
Function:		
Duration:		

Employer:		
Function:		
Duration:		

Examination Results :

Compliance – Fundamentals	
Compliance – Implementation of the Regulatory Framework	

Validation: (this part is reserved for the House of Training)

(Upon the information received from the candidate)

House of Training:	The candidate completed the required conditions <input type="checkbox"/> YES <input type="checkbox"/> NO
ALCO:	The candidate completed the required conditions <input type="checkbox"/> YES <input type="checkbox"/> NO
Refusal:	Motivation: